

Firm Profile

Please complete the following questions regarding your firm. All information collected is for in-house use only and will be held in strictest confidence, unless authorized otherwise by the Member/Member Firm as specified in response to this next statement. **Note: Referrals from our 3PM.org web site will only be distributed based on membership acceptance and continued good standing, and your authorization and completion of this page.**

Pending membership acceptance, may we use the below information to add your firm to our web site, www.3pm.org? Yes No

Pending membership acceptance, may we use this profile in our newsletter, 3PM News? Yes No

A benefit of 3PM membership is the opportunity to be referred to prospects seeking 3PM member firms who may be able to represent them. Would you be interested in receiving inquires from:

Traditional Firms

- With less than \$100M AUM? Yes No
- With track records less than 3 years? Yes No
- That are affiliated with banks, insurance companies, broker-dealers and/ or publicly held corporations? Yes No
- Domestic equity? Yes No
- Fixed income? Yes No
- International? Yes No
- Other: _____

Alternative Firms

- With less than \$100M AUM? Yes No
- With track records less than 2 years? Yes No
- That require offshore distribution? Yes No
- Hedge funds? Yes No
- Hedge funds of funds? Yes No
- Private equity? Yes No
- Private equity fund of funds? Yes No
- Real estate? Yes No
- Other: _____

On what distribution channels does your firm focus? (Check all that apply)

High Net Worth/Retail

- Individuals Yes No
- Family Offices Yes No
- Wrap/Managed Account Platforms Yes No
- Other: _____

Institutional Investors

- Corporations Yes No
- Public Funds Yes No
- Taft-Hartley/Union Plans Yes No
- Foundations and Endowments Yes No
- Other: _____

Financial Intermediaries

- Investment Consultants Yes No
- Insurance Companies Yes No
- Manager of Managers/Subadvisory Business Yes No
- Emerging Manager Platforms Yes No
- RIA and Wealth Management Platforms Yes No
- Other: _____

How did you hear about The Third Party Marketers Association?

Would you be interested in partnering with another 3PM firm? Yes No

Would you be interested in participating in one of the following committees? Yes No

If "Yes", please rate your interest in participating on the following committees: 1= highest interest, 2 = medium interest, 3 = lowest interest

- Communications/ Marketing Committee.** This committee works to improve the 3PM web site, providing members with access to new content and serves as mechanisms for keeping members abreast of new information important to the promotion of events and member benefits.
- Events Committee.** This committee works on the location and content of 3PM's annual conference, meetings and webcasts.
- Membership Committee.** This committee works to increase the membership of the organization by proactively contacting qualified third party marketing firms that are not current members. They work closely with the Strategy and Communications/ Marketing Committees.
- Sponsorships, Partnerships & Member Benefits Committee.** This committee works on recruiting sponsors for all 3PM events. This committee also negotiates with vendors regarding the Member Benefit Program as well as engaging in Media and Association Partnerships.
- Strategy Committee.** This committee works on the growth of 3PM while maintaining and improving the association's credibility. This committee also works with the Membership Committee to broaden 3PM's reach outside the U.S.

IMPORTANT NOTE: if you choose to electronically send this completed application to info@3pm.org, please be sure to SAVE the completed document and transmit the SAVED document. In addition, please print and mail or fax an original copy of this completed application to:

**Third Party Marketers Association, Inc.
191 Clarksville Road, Princeton Jct., NJ 08550 - Phone 609/799-4900 Fax 609/799-7032**